

Electric Reliability Compliance Workshop: The State of the Art Compliance Programs, Internal Controls and Best Enforcement Practices

Hosted by Stinson Leonard Street LLP

Location:

St. Regis Washington, DC
923 16th and K Streets NW
Washington, D.C. 20006
Magnolia

NERC and the electric industry are moving toward a risk-based approach to reliability compliance management. Through its Reliability Assurance Initiative, NERC and the Regional Entities are working to tailor compliance oversight to match an evaluation of enterprise risk, reflecting each organization's inherent risk, and its commitment to an effective compliance program. This occurs in an environment in which the electric industry's risk evaluation is ever-changing, as cyber and physical security concerns occupy managers' attention.

Join industry experts, NERC and FERC Senior Staff on Thursday, January 15, 2015, for this one-day electric industry workshop, hosted by Stinson Leonard Street LLP. The workshop will focus on effective compliance programs, developing methods for internal controls, enforcement and managing cyber and physical risks.

The day will open with a panel featuring NERC and Regional Entity Senior Staff, followed by FERC Deputy Director of Reliability and a discussion led by industry trade association leaders. The conversation will continue with a focus on standards development reform and industry best practices.

The full-day workshop will include:

9:00 a.m. | Introduction and Welcome

- **Jonathan D. Schneider** – Partner, Stinson Leonard Street LLP

9:15 a.m. | The NERC Initiative: Compliance and Enforcement Program Reform

We will begin the day with a discussion of NERC's compliance and enforcement program reforms, including the Reliability Assurance Initiative, and efforts to transition to a risk-based approach to compliance monitoring and enforcement.

We will also be joined by Senior Staff from two key Regional Entities who will review ongoing efforts at the Regional Entity level to implement NERC's risk-based compliance monitoring and enforcement initiatives, as well as share their thoughts on compliance best practices.

Panelists:

- **Sonia C. Mendonca** – Associate General Counsel and Senior Director of Enforcement, NERC
- **Rebecca Michael** – Senior Director of Reliability, NERC
- **Thomas P. Tierney** – Director of Compliance, Midwest Reliability Organization
- **Jason Blake** – General Counsel, ReliabilityFirst Corp.

10:45 a.m. | Break

11:00 a.m. | The View from FERC: Commission Oversight in a Risk-Based Environment

- **Edward (Ted) Franks** – Deputy Director, FERC Office of Reliability

12:00 p.m. | Lunch

1:00 p.m. | Introduction to the Afternoon Sessions

Craig Silverstein – Partner, Stinson Leonard Street LLP

1:15 p.m. | Industry Perspectives

Hear from representatives from key industry trade associations who will offer perspective on NERC's Reliability Assurance Initiative reforms.

Panelists:

- **Jack Cashin** – Senior Manager of Policy, Electric Power Supply Association
- **Barbara A. Hindin** – Associate General Counsel, Edison Electric Institute
- **John Hughes** – Vice President, Technical Affairs, Electricity Consumers Resource Council
- **Barry Lawson** – Associate Director, Power Delivery & Reliability, National Rural Electric Cooperative Association
- **Allen Mosher** – Vice President, Policy Analysis and Reliability Standards, American Public Power Association

2:30 p.m. | Industry Best Practices: Panel Discussion

Share and learn from fellow industry professionals during a panel discussion that will address industry best practices in areas of reliability compliance and enforcement, and compliance programs in use and under development.

Panelists:

- **Mark A. Pratt** – Manager for Data and Compliance, Southern Company
- **Greg Froehling** – NERC Compliance Officer, Rayburn Electric Cooperative
- **Kaleb Brimhall** – NERC Operations and Program Planning Manager, Colorado Springs Utilities

3:30 – 4:00 p.m. | Wrap-Up Discussion

A wrap-up roundtable discussion with NERC and industry representatives will conclude the day.

Don't miss the **Pre-Conference Reception on Wednesday, January 14, 2015, from 5:30 -7:30 p.m.** Joint conference speakers and attendees for an opportunity to network with industry professionals at a cocktail reception hosted by Stinson Leonard Street LLP at the St. Regis Hotel, 923 16th Street, NW, Washington, DC, in the Astor Pavilion & Salon.